



# Hydrogen-bond energetics drive helix formation in membrane interfaces<sup>☆</sup>

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## ABSTRACT

The free energy cost  $\Delta G$  of partitioning many unfolded peptides into membrane interfaces is unfavorable due to the cost of partitioning backbone peptide bonds. The partitioning cost is dramatically reduced if the peptide bonds participate in hydrogen bonds. The reduced cost underlies secondary structure formation by amphiphilic peptides partitioned into membrane interfaces through a process referred to as partitioning–folding coupling. This coupling is characterized by the free energy reduction per residue,  $\Delta G_{res}$  that drives folding. There is some debate about the correct value of  $\Delta G_{res}$  and its dependence on the hydrophobic moment ( $\mu_H$ ) of amphiphilic  $\alpha$ -helical peptides. We show how to compute  $\Delta G_{res}$  correctly. Using published data for two families of peptides with different hydrophobic moments and charges, we find that  $\Delta G_{res}$  does not depend upon  $\mu_H$ . The best estimate of  $\Delta G_{res}$  is  $-0.37 \pm 0.02$  kcal mol<sup>−1</sup>. This article is part of a Special Issue entitled: Membrane protein structure and function.

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## 1. Introduction

In the absence of secondary structure formation, the free energy cost  $\Delta G$  of partitioning unfolded peptides into membrane interfaces is unfavorable due to the cost of partitioning backbone peptide bonds. But if the peptide bonds participate in hydrogen bonds, the cost of partitioning is dramatically reduced [1,2]. This reduction underlies secondary structure formation by hydrophobic and amphiphilic peptides partitioned into membrane interfaces. This process, partitioning–folding coupling, can be characterized by the free energy reduction per residue,  $\Delta G_{res}$ , that drives folding. There is some debate about the correct value of  $\Delta G_{res}$ ; values of  $-0.14$  to  $-0.28$  kcal mol<sup>−1</sup> have been reported by Seelig and coworkers [3–5],  $-0.25$  kcal mol<sup>−1</sup> by Li et al. [6],  $-0.4$  kcal mol<sup>−1</sup> by Ladokhin and White [2], and  $-0.5$  kcal mol<sup>−1</sup> by Wimley et al. [1]. Collectively, these modest values can dramatically improve the partitioning free energies ( $\Delta\Delta G$ ) of peptides, because  $\Delta\Delta G \approx N\Delta G_{res}$  where  $N$  is the number of residues that adopt regular secondary structure. For example, if  $N = 10$ , the partitioning free energy of a peptide would be improved by 2.5 to 5 kcal mol<sup>−1</sup>. The hydrophobic moment ( $\mu_H$ ) of amphiphilic  $\alpha$ -helical peptides is also important in partitioning–folding coupling, because the helicities of peptides on the membrane and in solution increase with  $\mu_H$  [7]. This raises the question, addressed here, of the connection between  $\mu_H$  and  $\Delta G_{res}$ .

Fernández-Vidal et al. [7] carried out a systematic study of the effect of  $\mu_H$  on the partitioning and folding of a family of 17-residue peptides that differed in sequence but not in amino acid composition (Ac-A<sub>8</sub>Q<sub>3</sub>L<sub>4</sub>-GW-NH<sub>2</sub>). The sequences of the family members of the ‘AQL’ peptides were chosen to cover a 10-fold range of hydrophobic moments ( $\mu_H$  varied from 0.55 to 5.54). Because all of the sequences have the same total hydrophobicity, variations in  $\Delta G$  must arise solely from differences in  $\Delta G_{res}$ . The analysis presented by Fernández-Vidal et al. [7] suggested that the magnitude of  $\Delta G_{res}$  increased linearly with  $\mu_H$  from  $-0.1$  to  $-0.3$  kcal mol<sup>−1</sup> as  $\mu_H$  increased from 0.55 to 5.54. We revisit this analysis in this paper and show that in fact  $\Delta G_{res}$  is independent of  $\mu_H$ . The corrected value of  $\Delta G_{res}$  combined with new results from measurements on the partitioning–folding of transportan 10 (TP10) cell-penetrating peptides [8] support the conclusion that the best ‘typical’ value of  $\Delta G_{res}$  for practical estimations is about  $-0.4$  kcal mol<sup>−1</sup> [2].

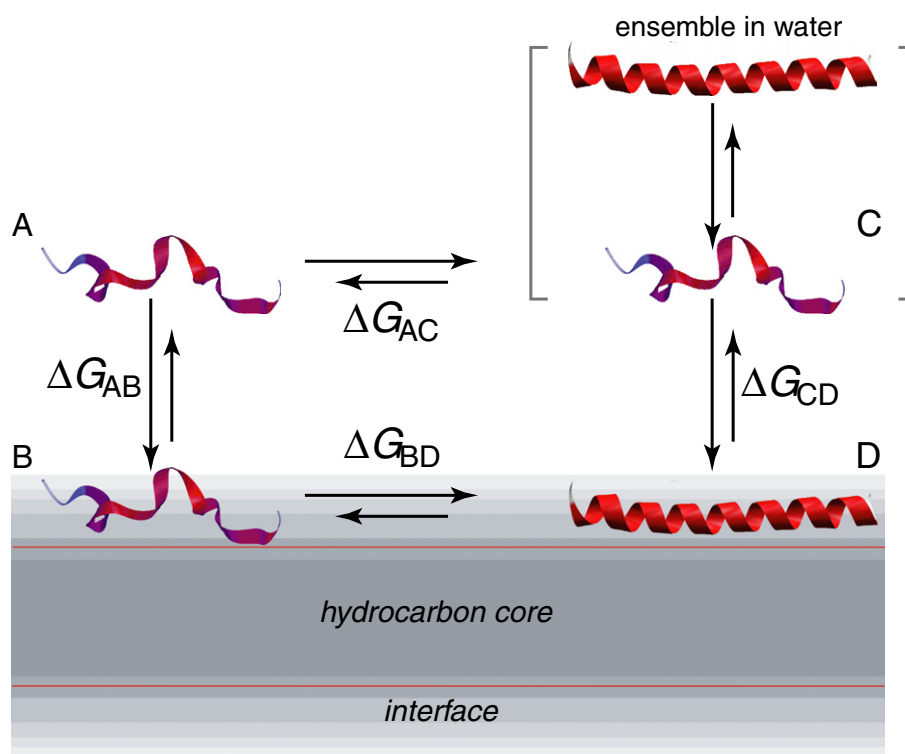
## 2. Thermodynamic analysis of partitioning–folding coupling

The thermodynamic cycle for computing free energy changes is shown in Fig. 1. State A is the fully unfolded peptide in water, B is the fully unfolded peptide in the membrane interface, C is the peptide in water, and D is the folded peptide in the membrane interface. State C is actually an ensemble of folded and unfolded conformations. State D may also represent an ensemble of folded and unfolded peptides, but few data are available that bear on this issue. The folded conformation in the interface is not generally fully helical. By folded, we mean peptide states with the average helicities determined experimentally.

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**Fig. 1.** Thermodynamic cycle for partitioning–folding of an  $\alpha$ -helical peptide in the membrane interface (modified from [7]). State A is the fully unfolded peptide in water; B is the fully unfolded peptide in the interface; C is the actual state of peptide in water, which is an ensemble of folded and unfolded conformations; and state D is the peptide in a  $\alpha$ -helical conformation partitioned into the membrane interface. The conformation is not necessarily, and usually isn't, fully  $\alpha$ -helical. States A and B are virtual states that cannot be observed experimentally due to their low occupancy. The free-energy difference,  $\Delta G_{AB}$ , is computed using the experiment-based algorithm of Hristova and White [10]. The other free-energy differences are determined experimentally (Fig. 2).

State A is a hypothetical state, because peptides in aqueous solution usually have some, even if small, amounts helical structure. We choose state A as the reference state, because it is the simplest and most convenient option. Imagine that we place the unfolded peptide in water, but somehow do not allow it to fold. This is the reference state, on the top left corner of the thermodynamic cycle. Then imagine that we remove this fictitious constraint, allowing the peptide to fold, which allows an equilibrium between unfolded and folded conformations to be established. The establishment of this equilibrium necessarily follows a reduction of free energy until the minimum is reached.

Given that A is experimentally inaccessible, why is it a convenient reference state? Although A is a hypothetical state,  $\Delta G_{AB}$  can be calculated from the Wimley–White interfacial hydrophobicity scale [9] using the algorithm of Hristova and White [10], which has been validated using indolicidin [11–15] mutants that do not adopt regular secondary structure [15]. Because C and D are the actual states in solution and on the membrane,  $\Delta G_{CD}$  is obtained from experimental measurements of interfacial partitioning. The possibility that an ensemble may also exist on the membrane surface is discussed later. The Gibbs free energy of the peptide in solution, relative to the unfolded reference state A,  $\Delta G_{AC}$ , can be determined experimentally by circular dichroism (CD) spectroscopy (see Fernández-Vidal et al. [7] for helicity values). The free energy for folding on the membrane,  $\Delta G_{BD}$  is obtained by closing the thermodynamic cycle.

The calculation of  $\Delta G_{AC}$  is the most subtle part of the analysis, but is made simpler under the two-state approximation [16], which was not used by Fernández-Vidal et al. [7]. An equilibrium constant  $K_\alpha$  is defined between the folded ( $\alpha$ ) and unfolded (u) conformations in solution by  $K_\alpha = f_\alpha / (1 - f_\alpha)$ , where  $f_\alpha$  is the fraction of  $\alpha$ -helical and  $f_u = (1 - f_\alpha)$  is the fraction of unfolded peptides. The Gibbs free energy difference between the folded and unfolded conformations is given by  $\Delta G_\alpha = -RT \ln K_\alpha$ . However,  $\Delta G_\alpha \neq \Delta G_{AC}$ , because state C is not the

folded conformation, but an ensemble. (Fernández-Vidal et al. [7] mistakenly assumed  $\Delta G_{AC} = \Delta G_\alpha$ .)

The two-state approximation assumes that the ensemble of states that exist in aqueous solution (state C) consists only of the fully folded and the fully unfolded peptide conformations in equilibrium with each other. The Gibbs free energy of the fully-helical peptide in solution can be obtained from  $f_\alpha$ , which is the fraction of helical peptide determined experimentally by CD spectroscopy, for example. Fernández-Vidal et al. [7] demonstrated that an isodichroic point exists in solution when the helical content is increased by the addition of trifluoroethanol. This observation supports the correctness of the two-state approximation in water. The essential concept behind the calculation of  $\Delta G_{AC}$  under the two-state approximation is that state C is a mixture of folded and unfolded conformations. To calculate  $\Delta G_{AC}$ , one writes the partition function for the peptide in water under the two-state approximation as the sum of the statistical weights (or relative probabilities) of all accessible states [16,17]. With the unfolded state in water as the reference, the partition function can be written as  $Q = (1 + K_\alpha)$ , where the statistical weight of the unfolded conformation is 1, and the statistical weight of the helical conformation (relative to the unfolded state) is the equilibrium constant  $K_\alpha$ . The Gibbs free energy change of going from the unfolded state to the mixture of helical and unfolded conformations at equilibrium is thus  $\Delta G_{AC} = -RT \ln(1 + K_\alpha)$ . This makes sense, because the accessibility of a new state (the helical conformation in this case) can never increase the free energy of the ensemble, but only decrease it. Thus, the Gibbs energy of the actual ensemble of peptide conformations in aqueous solution is lower than the Gibbs energy of the unfolded state alone.

The Gibbs energy of membrane partitioning of the peptide, represented by  $\Delta G_{CD}$ , can be obtained experimentally if a suitable difference in an observable property exists between the peptide states in solution and on the membrane. Several standard equilibrium

techniques include equilibrium dialysis, calorimetry, fluorescence, and CD [18]. In addition, the dissociation constant can be obtained from the on- and off-rate constants determined by stopped-flow fluorescence, for example, as the ratio  $K_D = k_{off}/k_{on}$  [8,19].

In principle, the free energy of folding in the membrane interface ( $\Delta G_{BD}$ ) should follow the same rules as folding in solution ( $\Delta G_{AC}$ ), which implies that state D should be considered as an ensemble. However, the assumption is generally made that state D consists of peptides having a single well defined helicity, i.e., the helicity measured on the membrane represents the mean value of a relatively narrow distribution. Is this assumption correct? To distinguish between an ensemble of conformations and a single conformation experimentally, one would have to perform an unfolding/folding experiment for peptides in the interface just as was done for peptides in the aqueous phase. As far as we can establish, such an experiment has never been done for any peptide. Furthermore, it is not clear that an on-membrane titration experiment is even feasible. We therefore assume for the present that the measured helicity of the peptide in the interface represents the mean of a very narrow distribution.

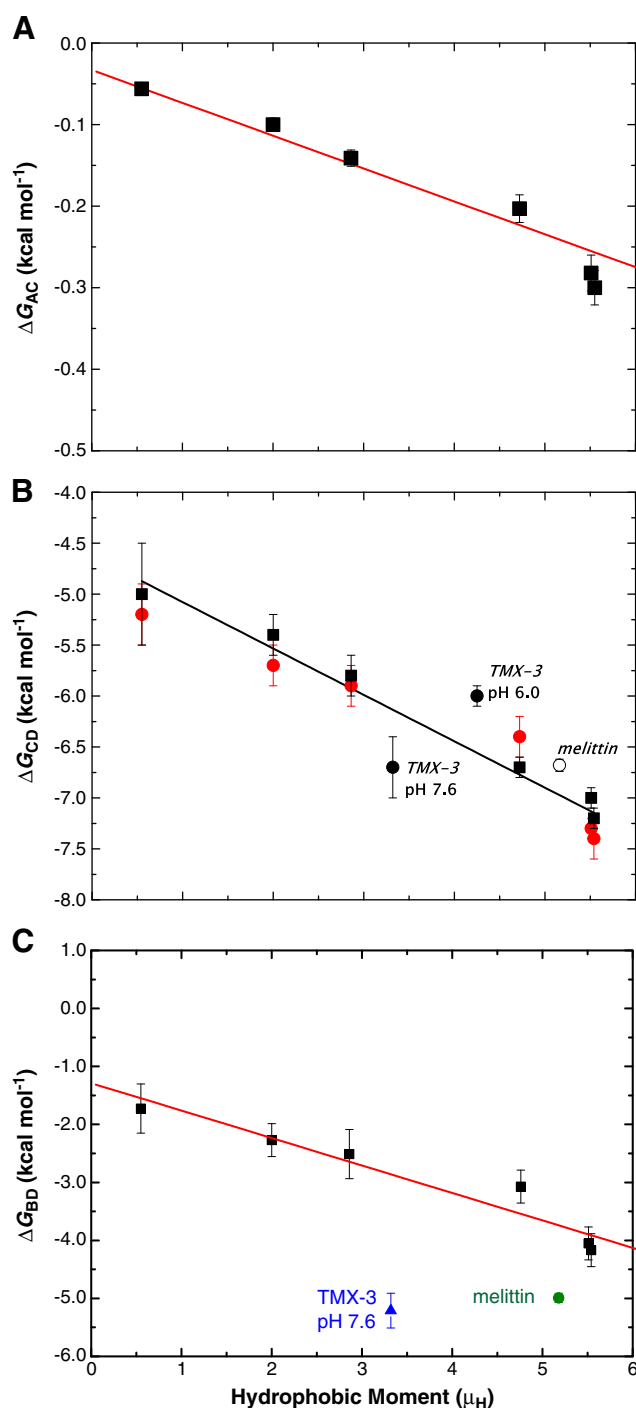
### 3. Analysis and interpretation of experimental data

The most extensive data available to calculate the different branches of the thermodynamic cycle for partitioning into palmitoyl-oleoylphosphocholine (POPC) bilayers are those on the AQL peptide variants of Fernández-Vidal et al. [7]. Analysis of these data shows that folding to a helix on the membrane ( $\Delta G_{BD}$ ) and in solution ( $\Delta G_{AC}$ ) increases linearly with the hydrophobic moment (Fig. 2A,C). This suggests that the propensity to form a helix is determined in part by the hydrophobic moment of the full helix. Furthermore, binding of the AQL peptides to the membrane ( $\Delta G_{CD}$ ) also increases linearly with the hydrophobic moment (Fig. 2B).

We are now in a position to answer the question of whether this binding enhancement is a direct result of the hydrophobic moment of the helix or of the formation of polypeptide backbone hydrogen bonds in the membrane interface. From the data of Fig. 2, we first find that the total free energy decrease upon folding on the membrane is  $\Delta G_{BD} = \Delta G_{AC} + \Delta G_{CD} - \Delta G_{AB}$ . The free energy reduction per helical residue is thus obtained from  $\Delta G_{res} = \Delta G_{BD}/Nf_{\alpha}$ , where  $N$  is the number of residues and  $f_{\alpha}$  is the fractional helicity on the membrane. The AQL peptides have  $N = 17$  residues. The results, plotted in Fig. 3, show that  $\Delta G_{res}$  is independent of  $\mu_H$  and equal to  $-0.328 \pm 0.013$  (SEM) kcal mol<sup>-1</sup>. A similar analysis can be carried out for the TP10 peptide family whose helicities and free energies of partitioning into POPC, determined by McKeown et al. [8], yield a value of  $-0.434 \pm 0.014$  kcal mol<sup>-1</sup>. Unlike the AQL peptides, the TP10 peptides have charged residues, which may explain the slightly higher values for TP10. Values of  $\Delta G_{res}$  for the TP10 family are included in Fig. 3 along with values for 26-residue melittin and the 31-residue designed peptide TMX-3 whose partitioning free energies and helicities have been determined by Ladokhin and colleagues [20–22]. The weighted average of  $\Delta G_{res}$  for the AQL and TP10 peptides is  $-0.37 \pm 0.02$  kcal mol<sup>-1</sup>. If melittin and TMX-3 are also included, the weighted average for  $\Delta G_{res}$  is  $-0.35 \pm 0.02$  kcal mol<sup>-1</sup>. The data, overall, are consistent with  $\Delta G_{res}$  being independent of  $\mu_H$ . The value for  $\Delta G_{res}$  of  $-0.41 \pm 0.06$  determined by Ladokhin and White using diastereomeric melittin [2] agrees with these weighted averages within experimental error. As first noted by Wimley et al. [1], partitioning–folding coupling is driven by the reduction in the free energy  $\Delta G_{hb}$  that accompanies hydrogen bonding of peptide bonds. From the data analysis presented here,  $\Delta G_{hb}$  can be taken as  $\Delta G_{res}$ .

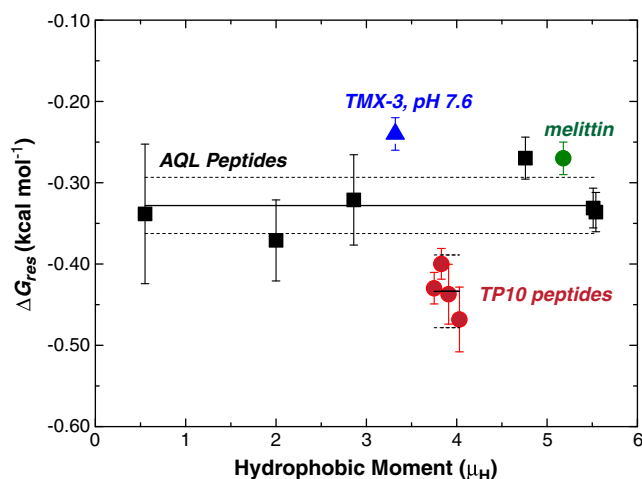
### 4. Discussion

If the solution state of the peptides were assumed folded instead of the real state of the peptide in solution, which is an equilibrium



**Fig. 2.** The Gibbs free-energy differences for the AQL family of peptides as a function of hydrophobic moment ( $\mu_H$ ) determined from the data of Fernández-Vidal et al. [7]. Panels A, B, and C show, respectively, the  $\mu_H$ -dependence of  $\Delta G_{AC}$ ,  $\Delta G_{CD}$ , and  $\Delta G_{BD}$ . The free energy differences are defined in Fig. 1. Included in the panels B and C are free energy values for 26-residue melittin [20] and the 31-residue designed peptide TMX-3 at pH 7.6 [21]. The lipid bilayers used were POPC LUV (solid squares) in panels A and C. In panel B, in addition to data for partitioning into POPC LUV (solid squares) data are also presented for partitioning into 1:1 POPC:POPG LUV (solid red circles). The data of panel B, replotted from [7], show that partitioning of the neutral AQL peptides is not affected by the presence of charged (anionic) lipids. Also included in panel B are data for melittin (open circle) and TMX-3 at pH 7.6 and pH 6.0 (solid circles). The data of panel C are calculated from experimental data in panels A and B and the theoretical estimate for  $\Delta G_{AB}$  illustrated in Fig. 1.

ensemble of folded and unfolded peptides, a significant error results in the calculation of  $\Delta G_{BD}$ . Failure to recognize that  $\Delta G_{AC}$  is very different (much smaller in absolute value) from  $\Delta G_{\alpha\alpha}$ , the free energy



**Fig. 3.** The per-residue free energies of folding ( $\Delta G_{res}$ ) of several peptides in the POPC membrane interface plotted as a function of the hydrophobic moment ( $\mu_H$ ). The Gibbs free energy of helix formation in the membrane interface as a function of hydrophobic moment for the AQL (solid black squares) and TP10 (solid red circles) families of peptides. Data for melittin and TMX-3 are shown as well (solid green circle and solid blue triangle, respectively). The solid and dotted lines superimposed on the AQL and TP10 data points represent the means and the standard errors of the means (SEMs), respectively. The mean  $\pm$  SEM for AQL is  $-0.328 \pm 0.013$  kcal mol $^{-1}$ ; the values for the TP10 peptides are  $-0.434 \pm 0.014$  kcal mol $^{-1}$ . The weighted mean of the AQL and TP10 data is  $-0.37 \pm 0.02$  kcal mol $^{-1}$ . The values of  $\Delta G_{res}$  for the TP10 peptides were computed using the free energies and helicities reported by McKeown et al. [8].

difference between folded and unfolded states in solution, led Fernández-Vidal et al. [7] to a calculation of the  $\Delta G_{res}$  that appeared to indicate a direct contribution of the hydrophobic moment to the free energy of folding in the membrane interface in addition to its contribution due to enhanced helicity, which we now see is not correct.

If the peptide is assumed to be completely unfolded in solution, as has been done previously [8,19,23], only a small error is incurred. For example, if the peptide is 10% helical in aqueous buffer ( $K_\alpha = 0.11$ ), but is assumed completely unfolded, the correct  $\Delta G_{AC} = -RT \ln(1 + K_\alpha) = -0.06$  kcal mol $^{-1}$  at room temperature; the assumption that it is fully unfolded would amount to setting  $\Delta G_{AC} = 0$ . If a peptide is 50% helical in water ( $K_\alpha = 1$ )—which is rare for these types of peptides—then the correct value of  $\Delta G_{AC}$  would be  $-0.4$  kcal mol $^{-1}$ . If the peptide is assumed to be completely unfolded in solution, and the thermodynamic cycle of Fig. 1 is completed using an experimental measurement of  $\Delta G_{CD}$ , this procedure results in the underestimation of the absolute value of  $\Delta G_{BD}$  (which is negative) by 0.06 to 0.4 kcal mol $^{-1}$  for peptides that are actually 10 to 50% helical, respectively, in aqueous buffer. This is a very small error, well within the range of the uncertainty in the experimental values of the Gibbs energy of folding ( $\Delta G_{CD}$ ).

According to the Wimley–White interfacial hydrophobicity scale [9,10,24], partitioning of an unfolded amphipathic peptide to the surface of a zwitterionic lipid bilayer, specifically POPC, is very weak. Low partitioning agrees entirely with the experiments on amphipathic peptides of Fernández-Vidal et al. [7] and studies of diastereomeric melittin [2], which cannot readily fold into a helix. As far as we can establish, except in the cases of small peptides with very atypical compositions, such as tryptophan-rich peptides (indolicidin [13–15], for example), no significant binding of unfolded peptides to membranes has been measured in the absence of Coulombic interactions. Essentially, the favorable Gibbs free energy of transfer from water to the membrane interface due to the hydrophobic effect is overridden by the unfavorable contributions of the polar residues and backbone amide groups. Therefore, peptides only bind significantly to the membrane if binding is coupled with folding to a helix (or other hydrogen-bonded structures) that reduces the cost of partitioning backbone amide groups.

From the analysis of the data for the AQL peptides, it is clear that  $\Delta G_{res}$  does not directly depend on the hydrophobic moment  $\mu_H$ . Therefore,  $\mu_H$  must exert its influence on the Gibbs free energy by increasing the probability of helix formation in solution and in the interface, as observed by Fernández-Vidal et al. [7]. Mean values of  $\Delta G_{res}$  obtained from two very different sets of peptides, the AQL and TP10 families, differ by only 0.1 kcal mol $^{-1}$  per helical residue. The weighted average of the two data sets,  $-0.37 \pm 0.02$  kcal mol $^{-1}$ , is probably the best current estimate that we can provide for the Gibbs free energy contribution to binding resulting from the formation of a peptide hydrogen bonds in the membrane interface. This value falls well within the experimental uncertainty of the value of  $-0.4$  kcal mol $^{-1}$  originally suggested by Ladokhin and White [2], which is the default value used in Membrane Protein Explorer (MPEx) [25]. The agreement with the present analysis is probably because the Ladokhin and White experiment [2] was based on a differential measurement of the helicities of L-melittin and D<sub>4</sub>L-melittin, which has the inherent advantage of canceling out minor (often unknown) effects.

Although  $\Delta G_{res} \approx -0.4$  kcal mol $^{-1}$  by itself is a modest number, one must remember that it is the collective effect of H-bond formation that ultimately drives folding and partitioning. This is illustrated by the increase in helicity of L-melittin compared to D<sub>4</sub>L-melittin; L-melittin has 12 additional  $\alpha$ -helical residues, which translates into an improvement in partitioning free energy of 4.8 kcal mol $^{-1}$  [2].

That there is some variability of  $\Delta G_{res}$  between peptide families is not surprising, because different peptides probably lie somewhat differently in the interface, and therefore sense slightly different environments. Peptides of one family may sink deeper in the interface than those of another, for example. The nature of the hydrophobic effect in the complex interface [26–28] may be responsible for differences. Schow et al. [29] have discussed this issue and concluded that in the phospholipid bilayer interface the state of the water in that complex environment likely determines the apparent solvation parameter for partitioning, which is only about 50% of the value for partitioning non-polar solutes between water and non-polar bulk phases [24].

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